

# Unsupervised Clustering using Pseudo-semi-supervised Learning

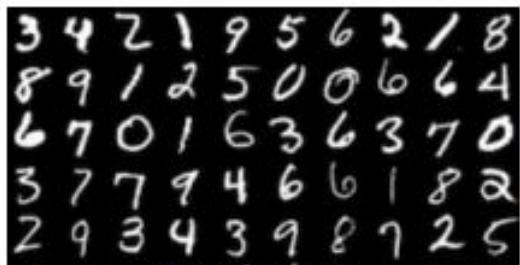
*Divam Gupta, Ramachandran Ramjee, Nipun Kwatra, Muthian Sivathanu*

**Carnegie  
Mellon  
University**

Microsoft<sup>®</sup>  
**Research**

# Introduction

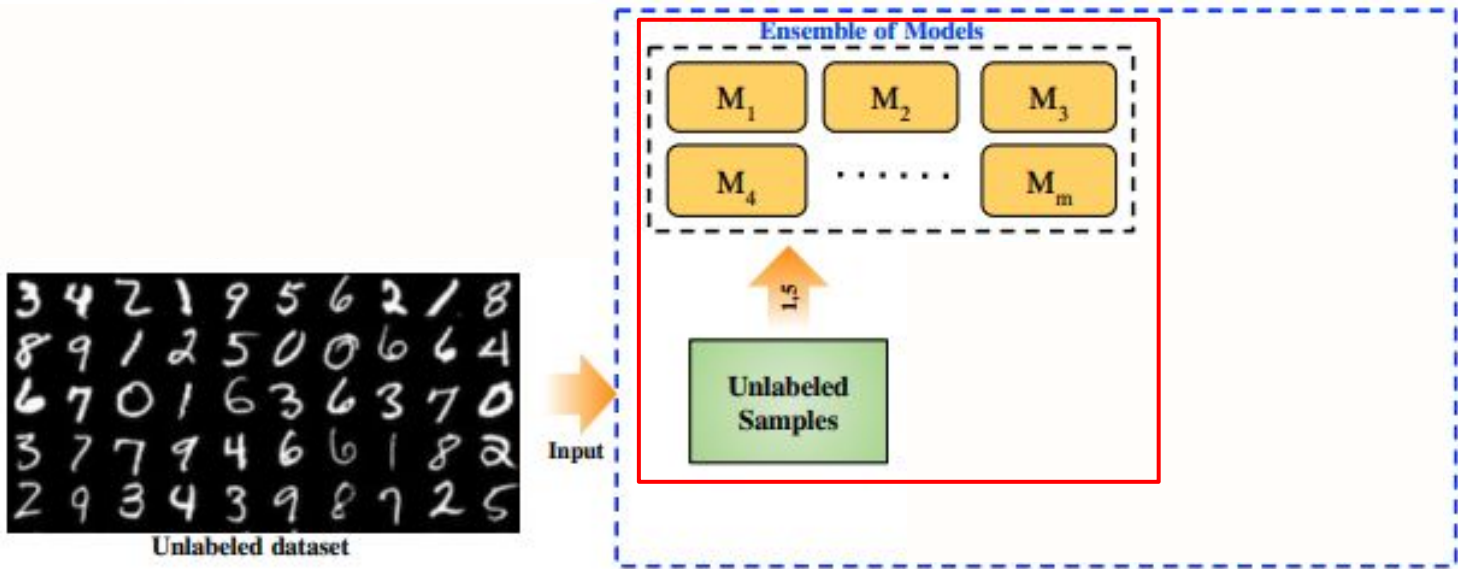
- Leverage semi-supervised models to improve unsupervised clustering performance
- In place of labels, generate pseudo labels in an unsupervised manner
- Novel technique leveraging ensembles to generate pseudo labels
- Our method outperforms state of the art clustering results for multiple image and text datasets



3 4 2 1 9 5 6 2 1 8  
8 9 1 2 5 0 0 6 6 4  
6 7 0 1 6 3 6 3 7 0  
3 7 7 9 4 6 6 1 8 2  
2 9 3 4 3 9 8 7 2 5

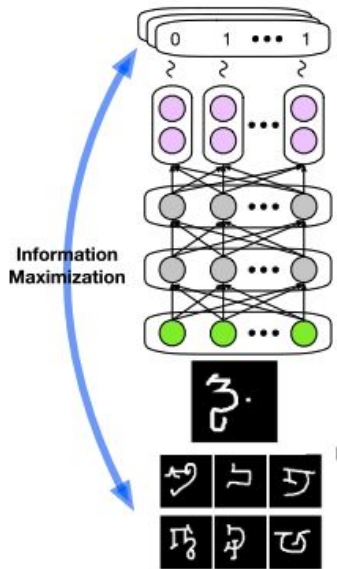
Unlabeled dataset

We start with an unlabeled dataset



Step 1

Train all the individual models on the unlabeled dataset using the unsupervised clustering loss



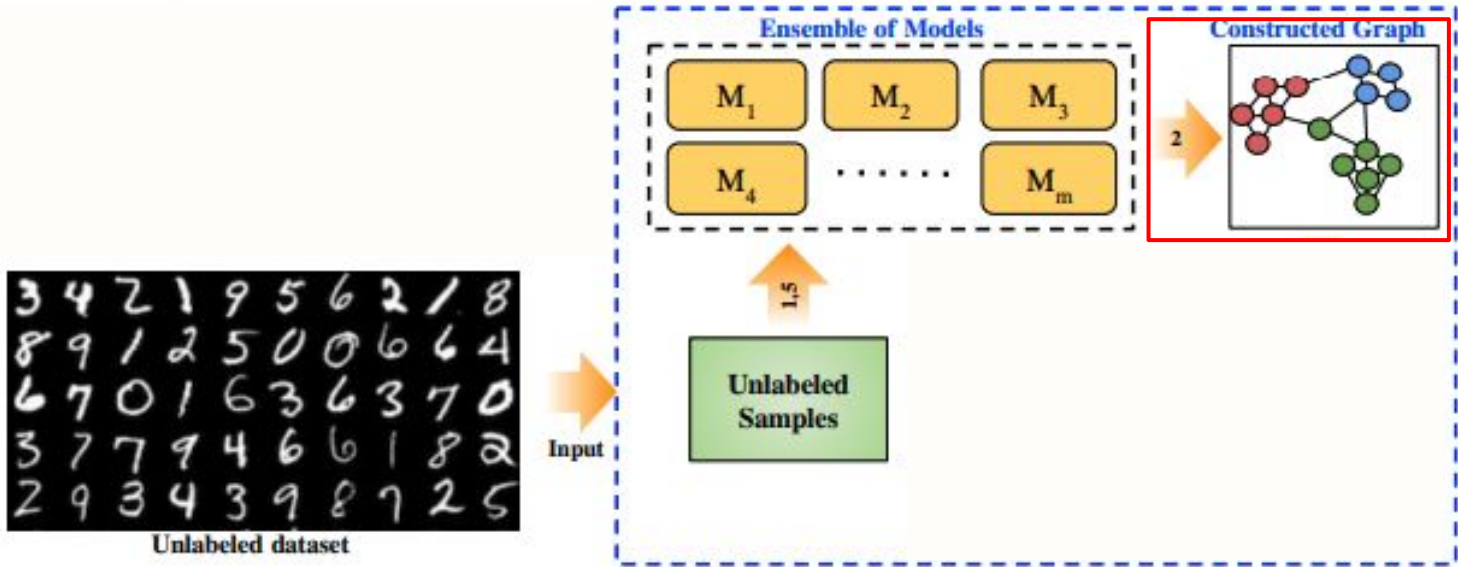
1) Information maximization loss *Hu et al. (2017)*

$$I(X; Y) = H(Y) - H(Y|X)$$

2) Dot product loss *Chang et al. (2017)*

$$D(X_i, X_j) = Y_i^T Y_j, \text{ if } i \neq j$$

All models of the ensemble have same architecture but random initialization with different seeds

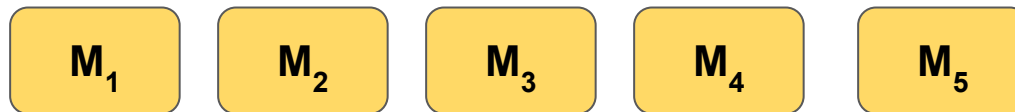


Step 2

Create pairwise similarity graph

# Generating pairwise similarity graph

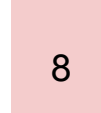
- Every sample in the dataset is a node in the graph
- Add strong positive edge for samples where more than  $t_{\text{pos}}$  models agree.
- Add strong negative edge for samples where more than  $t_{\text{neg}}$  models disagree.



$t_{\text{pos}} = 80$

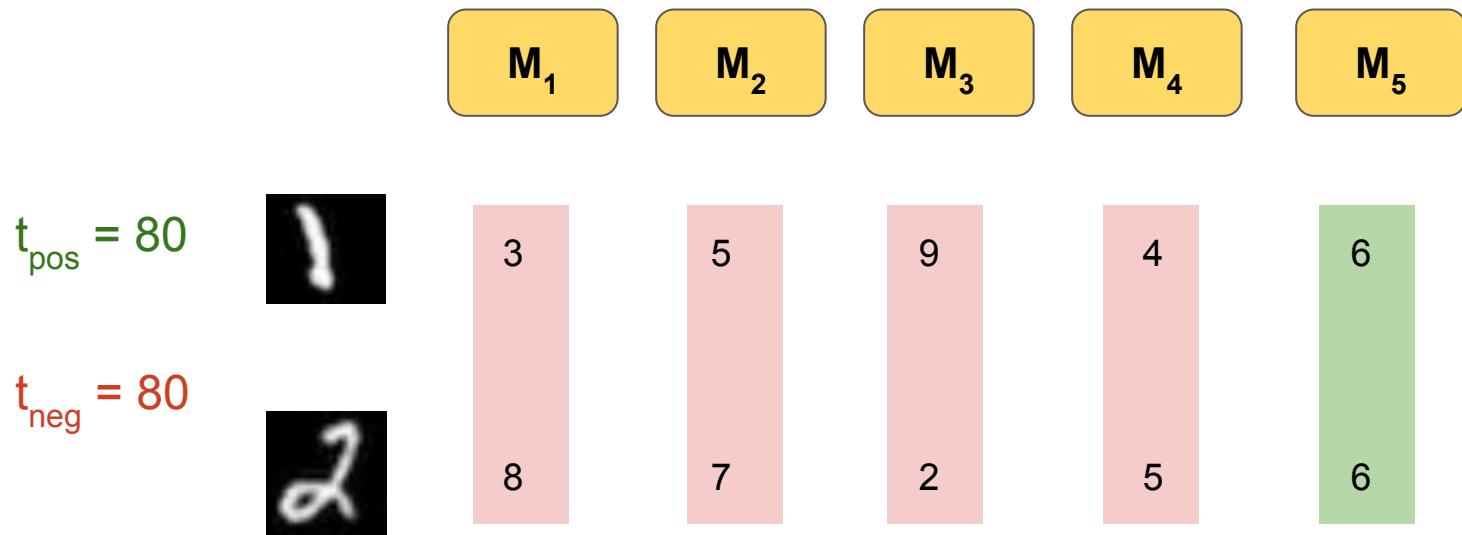


$t_{\text{neg}} = 80$

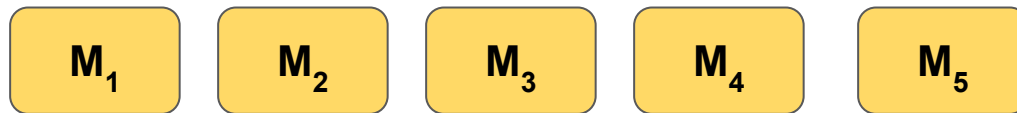


80% models agree - Strong Positive Edge





80% models disagree - **Strong Negative Edge**



$t_{\text{pos}} = 80$

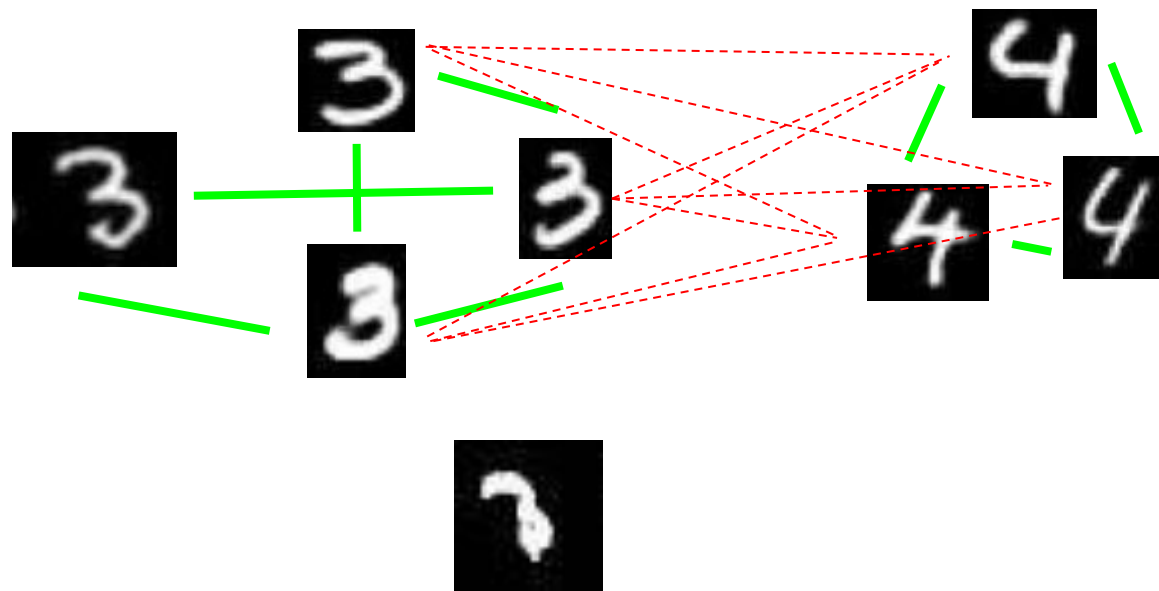


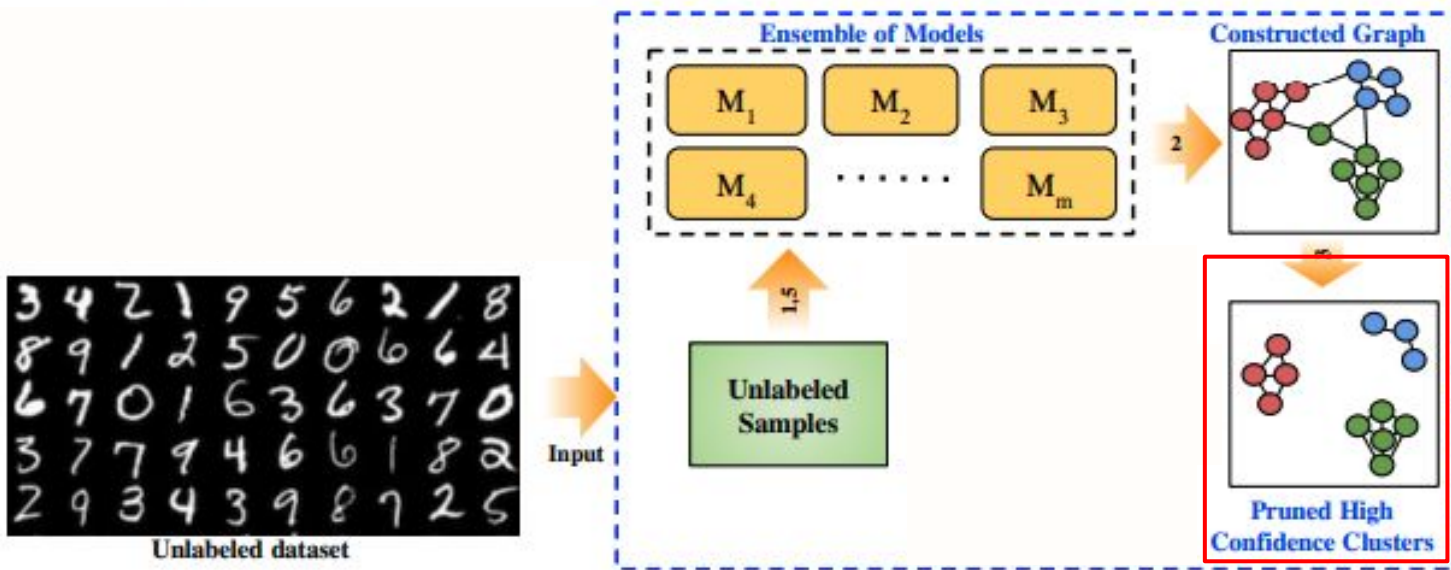
$t_{\text{neg}} = 80$



60% models agree - No Edge

# Example pairwise similarity graph

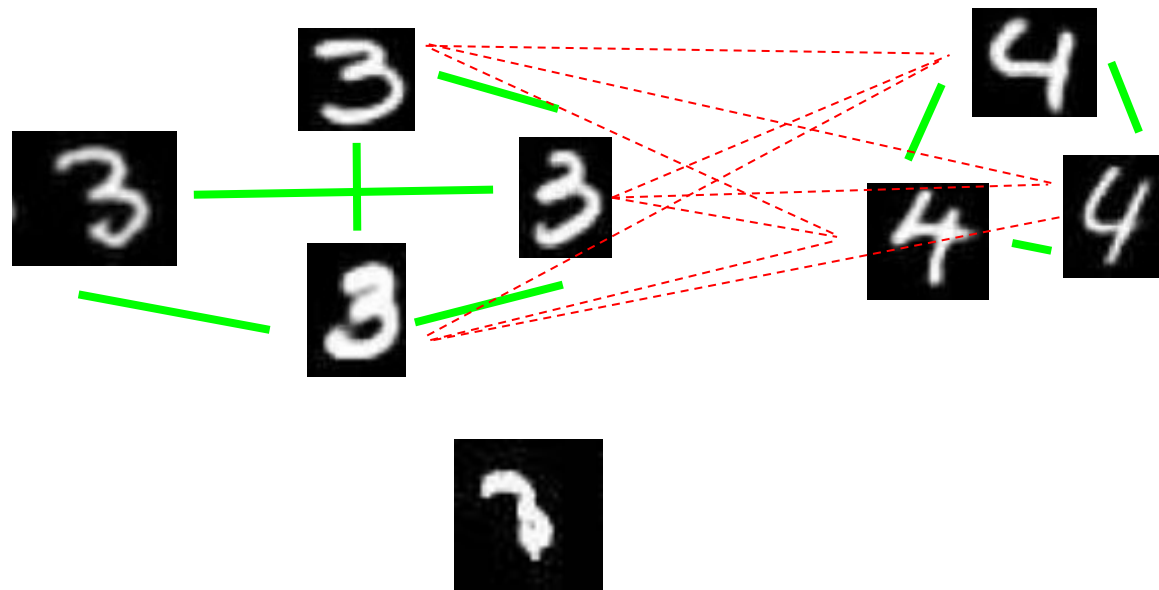




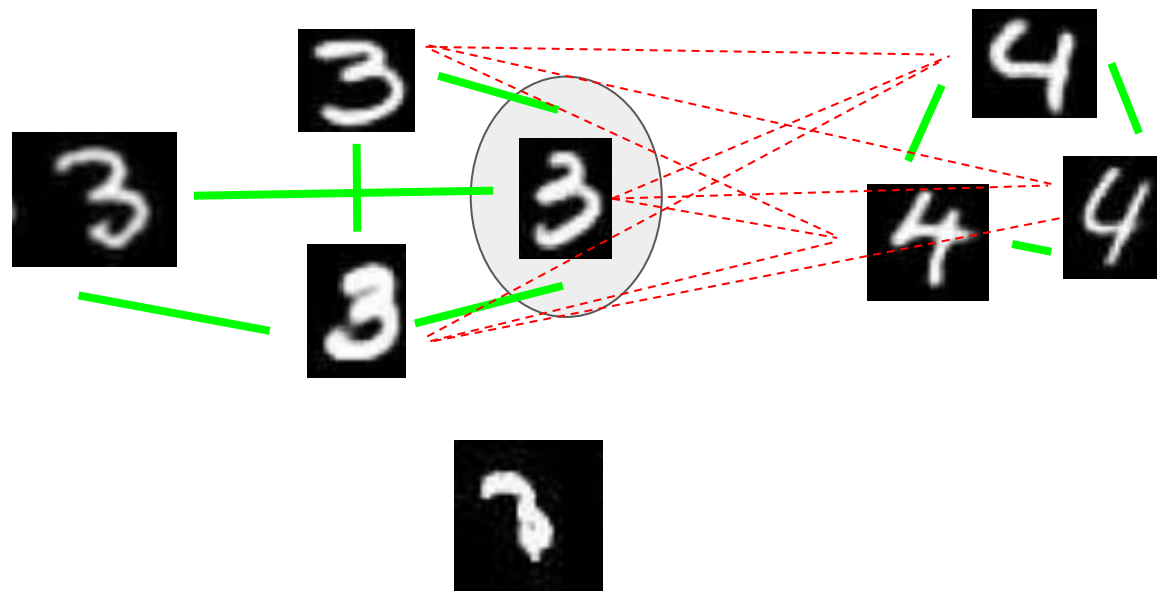
Step 3

Generate pseudo labels by pruning the graph nodes

# Generating pseudo labels

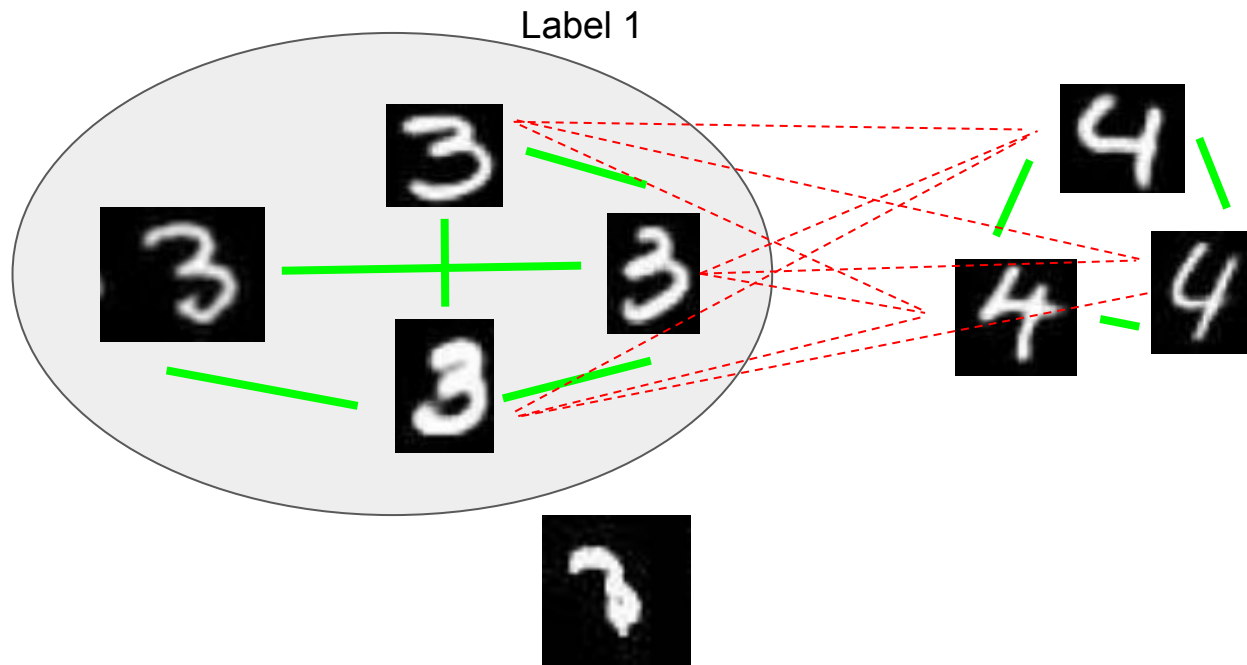


# Generating pseudo labels



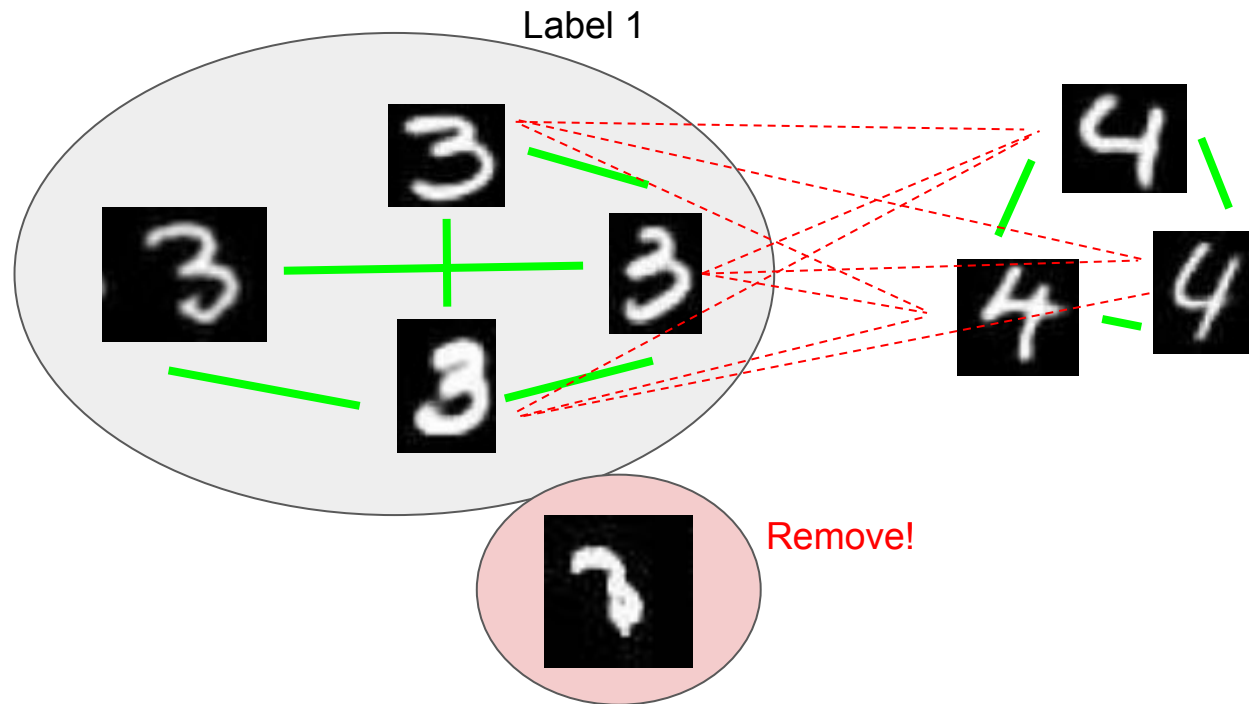
Step 1 Select node with maximum strong positive edges

# Generating pseudo labels



**Step 2** Assign a pseudo label to the strong positive neighbors connected to the selected node

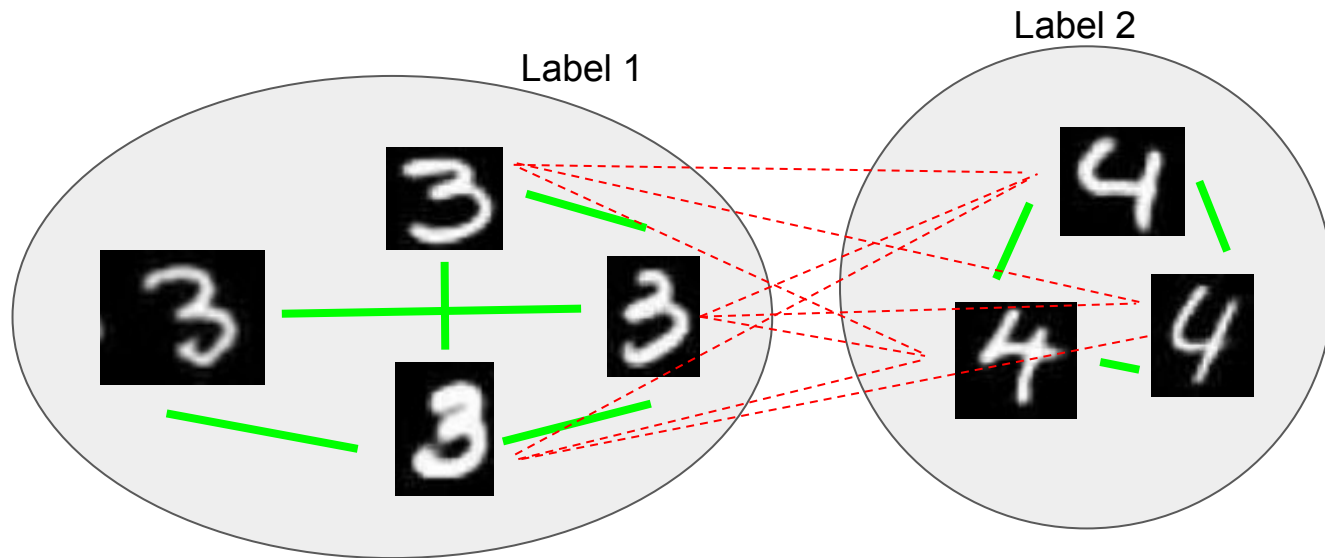
# Generating pseudo labels



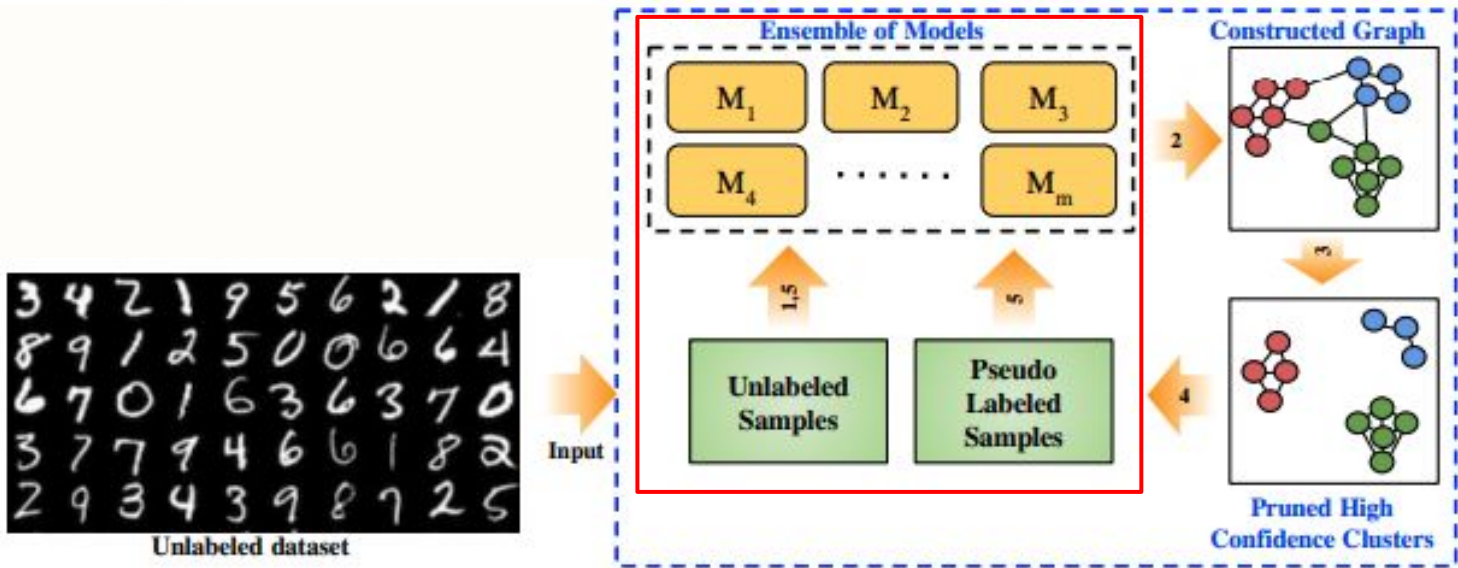
Step 3 Remove nodes not connected by strong negative edges



# Generating pseudo labels

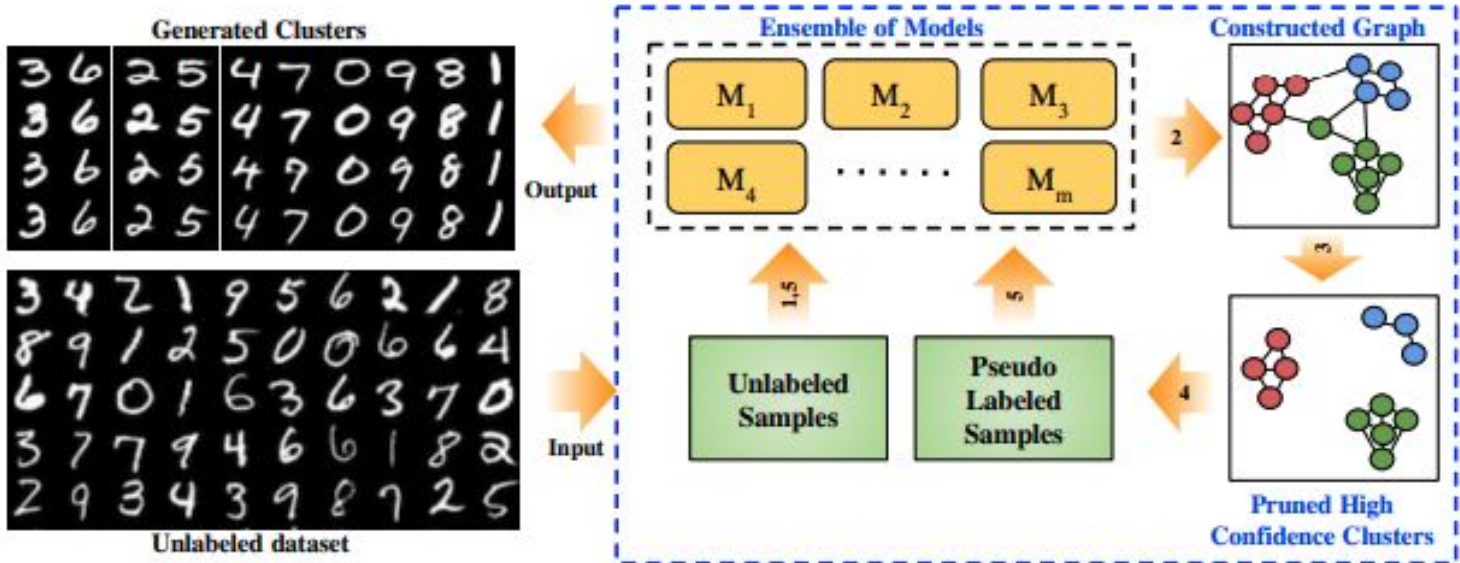


Repeat the steps K times



Step 4 , 5

Train the models on the Unlabeled samples and pseudo labeled samples



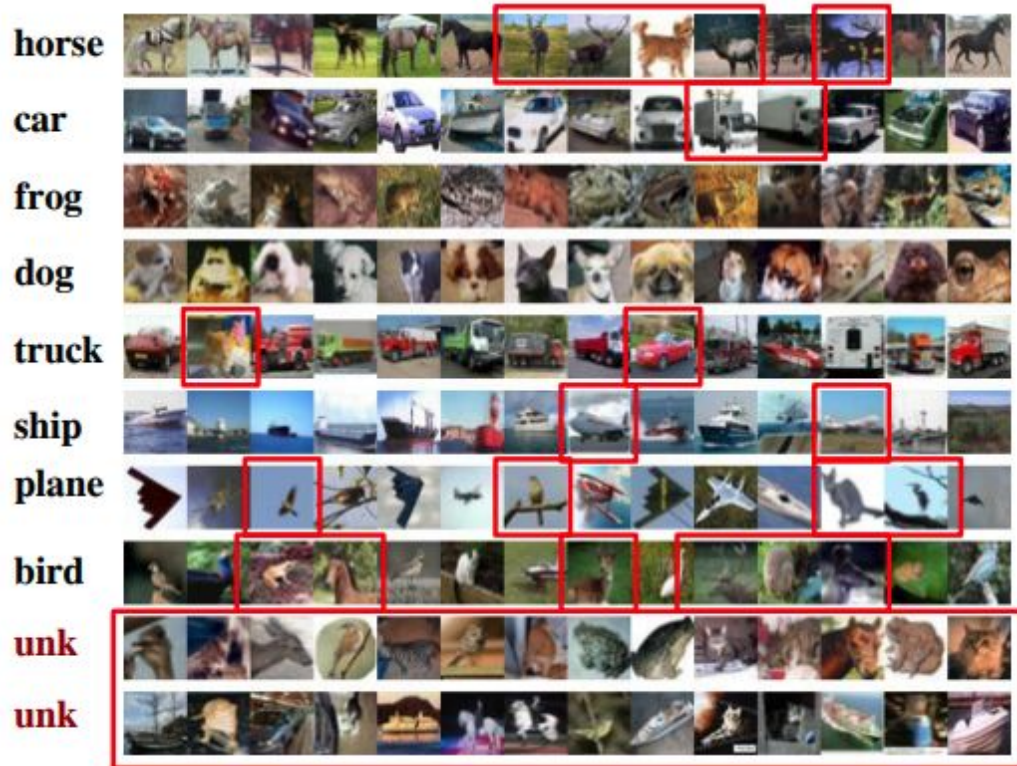
Repeat the steps 2 to step 5

# Quantitative evaluation

Method	MNIST	STL	CIFAR10	Reuters	20news
<i>K</i> -means	53.3 (0.1)	85.0 (0.2)	34.4 (0.9)	53.7 (0.4)	14.0 (1.5)
AC	62.1 (0.0)	82.2 (0.0)	42.4 (0.0)	54.9 (0.0)	18.6 (0.0)
dAE+ <i>K</i> -means	67.7 (3.0)	20.8 (1.9)	45.2 (2.1)	33.7 (0.2)	7.9 (0.1)
dVAE+ <i>K</i> -means	65.2 (3.4)	60.8 (1.9)	44.2 (0.2)	53.7 (1.4)	12.2 (0.2)
DEC Xie et al. (2016)	84.3	78.1 (0.1)	46.9 (0.9)	67.3 (0.2)	30.8 (1.8)
DeepCluster Caron et al. (2018)	27.9 (1.7)	69.9 (3.2)	37.2 (0.5)	43.1 (4.3)	15.8 (1.2)
Deep RIM Hu et al. (2017)	58.5 (3.5)	92.5 (2.2)	40.3 (3.5)	62.3 (3.9)	25.1 (2.8)
IMSAT (RPT) Hu et al. (2017)	89.6 (5.4)	92.8 (2.5)	45.5 (2.9)	<b>71.9 (6.5)</b>	24.4 (4.7)
IMSAT (VAT) Hu et al. (2017)	98.4 (0.4)	94.1 (0.4)	45.6 (0.8)	71.0 (4.9)	31.1 (1.9)
LADDER-IM (ours)	95.0 (2.8)	90.7 (1.8)	49.5 (2.9)	68.2 (2.8)	38.4 (2.5)
LADDER-IM-ensemble (ours)	95.1 (0.4)	91.5 (0.3)	51.5 (0.9)	69.0 (3.4)	40.5 (0.6)
LADDER-DOT (ours)	89.2 (7.2)	76.1 (4.7)	48.0 (1.0)	66.6 (4.8)	25.6 (1.3)
KINGDRA-LADDER-DOT (ours)	98.0 (0.01)	93.5 (1.4)	54.3 (2.5)	<b>71.9 (3.4)</b>	28.4 (1.2)
KINGDRA-LADDER-IM (ours)	<b>98.5 (0.4)</b>	<b>95.1 (0.1)</b>	<b>54.6 (0.9)</b>	70.5 (2.0)	<b>43.9 (1.4)</b>



# Qualitative results CIFAR10



# Thank you



Project webpage : <https://divangupta.com/pseudo-semi-supervised-clustering>